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22440 7590 10/25/2007

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Susan Piperno	(Depositor's name)
<i>Susan Piperno</i>	(Signature)
November 16, 2007	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/961,026	09/20/2001	Barry McQuain	5374/002	4659

TITLE OF INVENTION: SECURITIES PRICING SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	01/25/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHANDLER, SARA M	3693	705-036000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363)

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Gottlieb, Rackman & Reisman,

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(A) NAME OF ASSIGNEE

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UBS AG

Zurich, Switzerland

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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*Michael I. Rackman*

Date November 16, 2007

Typed or printed name

Michael I. Rackman

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